1 ENGROSSED SENATE BILL NO. 218 By: Griffin and Pittman of the 2 Senate 3 and Cox of the House 4 5 [Protective Services for Vulnerable Adults Act -6 Department of Human Services - voluntary protective 7 services - Department to take control - effective date 1 8 9 10 BE IT ENACTED BY THE PEOPLE OF THE STATE OF OKLAHOMA: SECTION 1. 43A O.S. 2011, Section 10-105, is 11 AMENDATORY 12 amended to read as follows: 13 Section 10-105. A. Upon receiving a report of alleged abuse, neglect, or exploitation of a vulnerable adult pursuant to the 14 15 provisions of the Protective Services for Vulnerable Adults Act, the Department of Human Services shall make a prompt and thorough 16 investigation. 17 The investigation by the Department shall include: 18 1. Notification of local law enforcement agency. Upon the 19 request of a law enforcement agency, the Department shall submit 20 copies of any results or records of an examination on the vulnerable 21 adult who is alleged to have been abused, neglected, or exploited 22 and any other clinical notes, x-rays, photographs, or previous or 23

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current records relevant to the case;

- 2. Any findings of abuse, neglect, or exploitation of a vulnerable adult shall also be sent to any state agency with concurrent jurisdiction over persons or issues identified in the investigation including, but not limited to, where appropriate, the State Department of Health, the Oklahoma Board of Nursing, or any other appropriate state licensure or certification board, agency, or registry;
 - 3. Every reasonable effort to locate and notify the caretaker, legal guardian and next of kin of the vulnerable adult who may be in need of protective services pursuant to Section 10-105.1 of this title;
- 4. Diagnostic evaluation to determine whether the person needs protective services;
- 5. Any photographs necessary to document injuries or conditions which have resulted or may result in an injury or serious harm to the person;
 - 6. A statement of the least restrictive services needed;
- 7. Whether services are available from the Department or in the community and how the services can be provided;
- 8. Whether the person would be capable of obtaining services for self and could bear the cost or would be eligible for services from the Department;
- 9. Whether a caretaker or legal guardian would be willing to provide services or would agree to their provision;

- 10. Whether the person desires the services;
- 11. A statement of any follow-up investigation or monitoring of the services that may be needed; and
 - 12. Other relevant information.
 - C. 1. a. The Department's investigation shall include a visit to the home or other place of residence of the person who is the subject of the report, a private interview with such person, and consultation with persons who have knowledge of the circumstances.
 - b. If, in the course of an investigation of this nature, the Department is denied entrance to the home or other place of residence of a person believed to be a vulnerable adult in need of protective services, or is denied a private interview with the vulnerable adult, the Department may petition the court for an order allowing entry to the premises or private access to the vulnerable adult. The court shall make a finding of probable cause of the vulnerability of the adult before issuing the order. If documentation, or access to records, or other information relating to such person as provided by this section is denied, the Department may petition the court for an order allowing entry or access.

- 2. The petition shall state the name and address of the person who is the subject of the report and shall allege specific facts sufficient to show that the circumstances of the person are in need of investigation.
- 3. If it is necessary to forcibly enter the premises, the representative of the Department shall make the entry accompanied by a peace officer.
- 4. The Department shall make all reasonable attempts to interview the caretaker or other persons alleged to be involved in the abuse, neglect or exploitation in order to enhance service provision and to prevent additional incidents of abuse, neglect or exploitation.
- D. When a report is received pertaining to a vulnerable adult who has a legal guardian, a copy of the investigative report of the Department shall be filed with the court to which the guardian is accountable.
- E. 1. In the case of a final investigative report pertaining to a vulnerable adult who is a resident of a nursing facility, residential care facility, assisted living facility or continuum of care facility and who is alleged to be a victim of abuse, verbal abuse, neglect, or exploitation by an employee of such facility, the Department shall forward to the State Department of Health and to the facility a copy of the Department's final investigative report. If the complainant is a facility resident, the resident's

- representative or a current employee of the facility, the Department shall keep the complainant's identity or other information which may identify the complainant confidential.
- 2. The Department of Human Services shall be deemed a party pursuant to the Administrative Procedures Act for the investigative reports filed by the Department with the State Department of Health regarding vulnerable adults who are residents of nursing facilities, residential care facilities, assisted living facilities or continuum of care facilities.
 - a. Within thirty (30) days of receipt of the final investigative report submitted by the Department of Human Services pursuant to this section, the State Department of Health shall provide the Department of Human Services with a written summary of any action taken as a result of the complaint including, but not limited to, results of any inspections, enforcement actions or actions which may be taken by the State Department of Health.
 - b. Whenever the Department of Human Services believes

 that the conditions giving rise to a complaint by the

 Department alleging a serious threat to the health,

 safety or welfare of a resident of a nursing facility,

 residential care facility, assisted living facility or

 continuum of care facility have not been adequately

- addressed, the Department of Human Services may request the State Department of Health to hold a hearing on the complaint as provided by Section 309 of Title 75 of the Oklahoma Statutes.
- 3. Nothing herein shall prevent the State Department of Health from conducting any type of investigation or taking any appropriate remedial or other action pursuant to the provisions of the Nursing Home Care Act, the Residential Care Act and the Continuum of Care and Assisted Living Act.
- F. When a report is received pertaining to a vulnerable adult residing in a facility other than the home of the vulnerable adult, where persons are employed to provide care and those employees have been named as persons responsible for the abuse, neglect or exploitation, the Department shall forward its final findings, including, but not limited to, any administrative appeal findings to the owner or administrator of the facility to prevent further incidents.
- SECTION 2. AMENDATORY 43A O.S. 2011, Section 10-106, is amended to read as follows:
- Section 10-106. A. If the Department of Human Services determines, as a result of its investigation, that a vulnerable adult needs protective services, the Department shall immediately provide or arrange for the provision of available protective

- services in the least restrictive manner, provided the person affirmatively consents to receive these services.
- B. 1. When a caretaker of a vulnerable adult who consents to the receipt of protective services refuses to allow the provision of such services to the person, the Department may petition to the court for a decree enjoining the caretaker from interfering with the provision of protective services to the person.
- 2. The complaint must allege specific facts sufficient to show that the person is a vulnerable adult in need of protective services, consents to the receipt of protective services, and that the caretaker refuses to allow the provision of such services.
- 3. If the court finds that the person is a vulnerable adult in need of protective services, consents to the receipt of protective services, and that the caretaker refuses to allow the provision of such services, the court may shall enter a decree:
 - a. enjoining the caretaker from interfering with the provision of protective services to the vulnerable adult, and
 - b. freezing the assets of the vulnerable adult if it has been determined by preponderance of the evidence that the vulnerable adult is being exploited and it is necessary to protect such assets, and

- c. the Oklahoma Health Care Authority shall take over control of assets, resources, and income to provide for the care of the vulnerable adult.
- C. If a vulnerable adult does not consent to the receipt of protective services or withdraws consent to the receipt of such services, the services shall be terminated, unless the Department determines that the person lacks capacity to consent, in which case the Department may seek court authorization to provide services pursuant to Section 10-108 of this title.
- D. 1. Payment for the costs of providing protective services shall be made from either:
 - a. the assets of the vulnerable adult consenting to the receipt of voluntary protective services, or
 - b. any available private or public assistance programs for which the vulnerable adult is eliqible.
- 2. If no assets or other private or public funds are available to the person, payment shall be made from a fund established by the Department for the purpose of providing emergency adult protective services, subject to availability of funds.
- 20 SECTION 3. This act shall become effective November 1, 2015.

1	Passed the Senate the 10th day of March, 2015.
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4	Presiding Officer of the Senate
5	Passed the House of Representatives the day of,
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